



Factors associated with depression in people with inflammatory bowel disease: the relationship between active disease and biases in neurocognitive processing

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Key Words:	Inflammatory Bowel Disease, Depression, Inflammation, Cognitive functioning

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Title: Factors associated with depression in people with inflammatory bowel disease: the relationship between active disease and biases in neurocognitive processing

Running title: Depression in IBD: the role of cognitive bias

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Abbreviations:

SIBDQ = Short inflammatory bowel questionnaire

IMD = Index of Multiple deprivation

IQR = Inter-quartile range

24 Abstract and Keywords

25 Background

26 Depression is common among people with inflammatory bowel disease (IBD), though the
27 causes remain unclear. We conducted a cross-sectional study to investigate the role of
28 emotional processing biases in contributing to depression among people with IBD.

29 Materials and methods

30 One hundred and twenty outpatients with IBD were recruited and: i) completed
31 questionnaires to record: age, sex, social support, socioeconomic status, anxiety and
32 depression (n=104), ii) underwent assessments of biases in emotional recognition (n=112),
33 emotional memory and reinforcement learning iii) had recorded from clinical records: type of
34 IBD, duration of IBD, IBD activity and iv) provided blood for high-sensitivity C-reactive protein
35 levels (n=99).

36 Key Results

37 Sixty-eight participants had Crohn's disease and 49 had ulcerative colitis. Of these, 35 had
38 active disease and 26 had depression. Those with depression were more likely to be female,
39 lack social support, have active disease, be taking corticosteroids but not TNF-alpha
40 inhibitors and exhibit less positive emotional recognition bias. On multivariable regression
41 analysis, depression was associated independently with lack of social support
42 (unstandardized regression coefficient (B)=-1.40, p=0.02) and increased disease activity
43 (B=1.29, p=0.03). Causal steps analysis was consistent with less positive emotional
44 recognition bias partially mediating the effects of disease activity on depression.

45 Conclusions and inferences

46 This is the first study to demonstrate links between disease activity and less positive biases
47 in emotional recognition that could explain higher rates of depression among people with

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active IBD. Future prospective studies are required to confirm the effects of emotional processing biases in depression and allow stronger causal inferences to be drawn.

Key Words

Inflammatory Bowel Disease, Depression, Inflammation, Cognitive functioning,

Key points

- Depression is common in people with inflammatory bowel disease (IBD), but the actual causes of depression in this group are unknown
- We found that depression was independently associated with increased IBD activity, and that less positive cognitive bias part-mediated the effects of disease activity on depression
- This is the first study to demonstrate links between disease activity and less positive biases in emotional recognition that could explain higher rates of depression among people with active IBD.

64 Depression affects 14 - 27% patients with inflammatory bowel disease (IBD), which is
65 approximately 2 to 3 times the prevalence in people without IBD¹⁻³. Depression in IBD is
66 important because it is associated with more gastrointestinal symptoms independent of
67 disease severity⁴, worse health-related quality of life⁵⁻⁸, increased healthcare utilisation⁹⁻¹¹,
68 and possibly relapses in disease activity¹²⁻¹⁶. Depression is associated with a number of
69 sociodemographic, clinical and psychological factors^{1,13,17-21}, though many of these risk
70 factors are inter-related, and the main causes of depression among people with IBD remain
71 unclear.

72 Recently, there has been considerable interest in the role of inflammation in depression.
73 Observational studies in healthy and clinical populations have shown that inflammation is
74 associated with depression²²⁻²⁴. Also, controlled, experimental studies in healthy individuals
75 have shown that acute inflammation causes short term increases in depressive
76 symptoms^{25,26}. Among people with severe Crohn's disease, treatment with the anti-TNF-
77 alpha drugs infliximab and adalimumab has been associated with a rapid reduction in
78 depression, not attributable solely to reductions in clinical disease activity²⁷⁻²⁹. However, it is
79 unclear how inflammation causes depression. We postulate here that the effects of
80 inflammation may be mediated via negative cognitive biases, particularly biases in the
81 processing of emotionally salient information (henceforth emotional processing)³⁰. Such
82 negative cognitive biases are considered central to the development of depression, though
83 their association with chronic inflammation in people with IBD has not been investigated
84 previously^{31,32}.

85 We conducted a cross-sectional study among hospital outpatients with IBD to identify
86 sociodemographic, IBD-related and psychological factors that were independently
87 associated with depression, and to explore whether negative biases in emotional processing
88 mediated links between IBD activity and depression.

89 We tested the following hypotheses among outpatients with IBD:

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- 90 i) Depression would be independently predicted by socio-demographic characteristics
- 91 (age, sex, socioeconomic status, social support), medical characteristics (type of
- 92 IBD, IBD activity), and psychological characteristics (negative biases in emotional
- 93 processing)
- 94 ii) Negative biases in emotional processing would mediate the effects of disease activity
- 95 on depression

For Peer Review

98 **Materials and methods**

99 **Subjects**

100 We recruited adults with known IBD attending the gastroenterology outpatients and biologic
101 infusion clinics at the Royal Devon and Exeter hospital between January and June 2017.
102 Participants were excluded if they were too physically unwell, if they suffered from severe
103 mental disorder, including severe depression, significant suicidal risk or active psychosis.

104 *Sample size calculation*

105 Making the a priori assumption that key variables of interest would be normally distributed,
106 we calculated that a sample of 85 subjects would provide $\geq 80\%$ power to detect bivariate
107 correlations of at least $r=0.3$ between measures of emotional processing, markers of disease
108 severity and depression at the 5% level of significance (2-sided). Also, we expected this
109 number of subjects would be provide sufficient power to conduct multivariable regression
110 analyses using up to 8 independent variables, based on the rule-of-thumb of 10 participant
111 per independent variable added³³.

112 **Baseline assessments**

113 Data were obtained using a combination of self-report questionnaires, computerized
114 assessment and by extraction of relevant clinical information from medical records.

115 *Questionnaire assessments*

116 A purpose-designed questionnaire was used to record sociodemographic characteristics
117 including: age, sex, relationship status (categorized as "In relationship" versus "Other"),
118 educational status (years of education) and employment status (categorized as "In
119 employment" versus "Other"), smoking status (current smoker, ex-smoker, never smoked)
120 and previous treatments for depression.

121 We used the following validated questionnaire assessments:

122 The *frequency of depressive symptoms* in the previous 2 weeks was assessed using 9-item
123 Patient Health Questionnaire, PHQ-9³⁴. Scores could range from 0 to 27, with higher scores

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124 indicating worse depression. A cut-off score of ≥ 10 indicates moderate depressive
125 symptoms and we used this cut-off to identify cases of depression among our participants.
126 *Anxiety* was measured using the 7-item General Anxiety Disorder Assessment, GAD-7³⁵,
127 *perceived social support* was assessed using the seven item ENRICH social support
128 inventory^{36–38} and *recent life stresses* were assessed using the 12-item List of Threatening
129 Experiences questionnaire³⁹. The EQ-5D questionnaire was used to assess *generic health-*
130 *related quality of life*⁴⁰ and the 10-item, Short Inflammatory Bowel Disease questionnaire
131 was used to record *disease-specific health-related quality of life*^{41,42}.
132 Questionnaire assessments were completed in clinic following recruitment, though
133 participants could take them home to complete, if preferred.
134 *Data extracted from medical records*
135 We recorded demographic data, smoking status, age at diagnosis, disease duration,
136 Montreal Classification⁴³, prior medical and drug history and previous IBD. Patients
137 postcodes were used to identify the degree of social deprivation, as determined using the
138 Index of Multiple Deprivation⁴⁴.
139 IBD activity at the time of recruitment was categorized as active versus inactive via
140 retrospective inspection of medical records. Two experienced clinicians (JG, NH)
141 independently reviewed clinical and laboratory information for each participant at the time of
142 recruitment to the study, blind to the outcomes of any research assessments.
143 Disagreements in ratings were resolved through consensus, with referral to a third
144 independent clinician (NAK) if agreement could not be reached.
145 *Computerized assessment of emotional processing*
146 We selected specific tests from a validated computerized neuropsychological test battery
147 (EMOTICOM)⁴⁵ to assess performance on emotional perception, emotional memory and
148 reinforcement learning, which we recently showed were aspects of social and emotional

149 processing most likely to be influenced by inflammation³⁰. All tasks were presented on a
150 Hewlett Packard 755 laptop computer with 15.6" touchscreen.

151 *Emotional recognition task*

152 The Emotional Recognition Task (ERT) assessed an individual's ability to recognize basic
153 emotions (happy, sad, angry and fearful) from 80 images of people's eyes (20 of each
154 emotion), with 10 levels of intensity for each emotion. In each trial a fixation cross was
155 presented in the center of the screen (random duration between 1500 to 2500 milliseconds),
156 followed by an image of eyes (250 milliseconds). The image was immediately replaced by a
157 grey mask (150 milliseconds), following which the participant made a forced choice from four
158 emotions (happy, sad, angry or fearful). There were in addition 16 filler trials in which
159 participants were asked to select the age of the eyes in the image (child, young adult,
160 middle-aged adult and older adult). Performance on the emotional recognition task is
161 reported as "emotional recognition bias", calculated as the percentage accuracy for
162 recognition of happy expressions minus the percentage accuracy for recognition of sad
163 expressions.

164 *Emotional memory recognition task*

165 The Emotional Memory Recognition Task (EMRT) was presented in two parts. During the
166 first phase (encoding) participants were shown 30 photographic scenes without people (10
167 positive, 10 negative and 10 neutral). In each trial a fixation cross was displayed in the
168 centre of the screen for 1000 milliseconds, followed by an image also displayed for 1000
169 milliseconds. Participants were asked to make ratings of valence (1=negative, 9=positive)
170 and intensity (1=not at all, 9=extremely) for each image. In the second phase (retrieval)
171 conducted 30 minutes later, participants were shown 30 images from the encoding phase,
172 each paired with new photographs, which were mirror images of those seen during
173 encoding. Participants were asked to identify the image seen during encoding. Performance
174 on the emotional memory task is reported as "emotional memory bias", calculated as the

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percentage accuracy recall of positive scenes minus the percentage accuracy for recall of negative scenes.

Reinforcement learning

The Reinforcement Learning Task (RLT) assessed speed of learning of visual patterns associated with reward (winning points) and punishment (losing points). Participants were shown pairs of colored circles and were instructed to select one of the circles which they thought would be most likely to win money. Participants were expected to learn through sampling the circles which of the two circles was most likely to deliver a win, with probabilities set at 70/30%, unknown to participants. Feedback was given after each selection and a cumulative tally was displayed. The task was presented in two parts. First, there were 120 trials in the learning phase. In each trial a fixation cross was presented (random duration between 500 to 1500 milliseconds) followed by 1 of 4 possible pairs of colored circles. The circles remained until the participant selected one circle, after which feedback was displayed for 1000 milliseconds. There were two conditions: reward (2 pairs / 60 trials) or punishment (2 pairs / 60 trials). In the reward condition feedback consists of a win (win 50p) or failure to win (win 0p), and in the punishment condition feedback consists of a loss (lose 50p) or avoidance of loss (lose 0p). Next, in the transfer phase there were 48 trials where all possible pairs of circles were presented. Participants were instructed to continue to select their preferred circle, although no feedback was provided in this phase.

Performance on the RLT is reported using learning rate (i.e. how fast the participant learned new information related with winning and losing, where high scores show that learning was more rapid), calculated from the learning phase only (not the transfer phase) and the performance temperature (a measure of the randomness in responding). On initial inspection of the learning data, it became clear that some subjects were performing no better than chance (i.e. there was no evidence of learning, with performance on the task at or below 50% correct), which resulted in poor model fit. Once we had excluded these non-performers,

the model that accounted best for the participant's performance was the reinforcement learning model with separate parameters for rewards and losses. Thus, results for reinforcement learning data presented below are limited to individuals showing evidence of learning on the task.

Blood samples

Blood was collected in 7.5 mL EDTA tubes and centrifuged at 2500 g for 10 minutes at 4 °C in a Thermo Scientific Heraeus 16R Megafuge. Within 30 minutes of venipuncture the separated plasma was divided into 3 aliquots (minimum 0.5 mL per aliquot) and then frozen at -80 °C for subsequent assay for C-reactive protein (high sensitivity assay, hs-CRP).

Hs-CRP assay

Hs-CRP levels were established using Cardiac C-reactive protein (latex) high sensitive, particle enhanced immunoturbidimetric assay on the 702 module of a Roche / Hitachi cobas 8000 automated analyzer. The lower detection limit for hs-CRP using this system was 0.15 mg/L. One subject had levels below this lower limit of detection (<0.15 mg/L) and, for the purposes of analysis hs-CRP as a continuous variable, levels for this individual were assumed to equal 0.15mg/L. In addition, hs-CRP levels were also divided into low and high hs-CRP categories (≤ 3 mg/L and > 3 mg/L, respectively).

Statistical considerations

Preliminary examination of the continuous variables using 1-sample Kolmogorov-Smirnov tests revealed that our a priori assumption that key variables would be normally distributed was incorrect. In fact, the vast majority of variables were non-parametrically distributed. Standard transformations did not increase normality, so non-parametric statistical techniques were used throughout. Socio-demographic, IBD and psychological characteristics are summarized using median and interquartile range, or number and percentages, as appropriate. Differences in sociodemographic, IBD and psychological variables according to

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4 226 depression status were examined using the Mann Whitney U test for continuous data. Chi-
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6 227 square tests were used to compare categorical data, with Fisher's Exact test used where
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8 228 contingency tables included cells with expected frequencies <5.
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10 229 To identify variables independently associated with depression, multivariable logistic
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12 230 regression analysis was conducted that included the following independent variables: Block
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14 231 1: age, sex, socioeconomic status, social support, Block 2: IBD type (Crohn's Disease,
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16 232 Ulcerative Colitis, Unclassified) and IBD activity (Active vs Inactive IBD), Block 3:
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18 233 psychological characteristics (bias in emotional processing). Due to the highly non-
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20 234 parametric distribution of independent variables, for the purposes of the regression analyses
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22 235 continuous independent variables were converted to binary categories, using a median split
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24 236 unless other established cut-offs were more appropriate (i.e. PHQ-9 \geq 10 and hs-CRP >3
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26 237 mg/L).
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30 238 We explored the role of emotional recognition bias as a potential mediator of the association
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32 239 of disease activity with depression using a causal steps approach, based the methods of
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34 240 Baron & Kenny⁴⁶. A series of 3 logistic regression analyses were conducted: 1) Depression
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36 241 regressed on disease activity, 2) Emotional recognition bias regressed on disease activity,
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38 242 and 3) Depression regressed on both disease activity and emotional recognition bias, in the
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40 243 same model. Mediation was considered to have occurred if all of the following conditions
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42 244 were met (see Figure 1 for illustration):
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46 245 i. Disease activity predicted depression (the total, unadjusted, effect of predictor on
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48 246 outcome, *path c*').
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50 247 ii. Disease activity predicted emotional recognition bias (the direct effect of predictor
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52 248 on mediator, *path a*).
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54 249 iii. Emotional recognition bias significantly predicted depression in a model that also
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56 250 included disease activity (*path b*, the direct effect of mediator on outcome).
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Wilkinson

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iv. The regression coefficient of disease activity on depression in the model that also included emotional recognition bias (*path c*, the direct effect of predictor on outcome) was smaller than the coefficient of the total effect (*path c'*). If the causal steps approach indicated findings consistent with mediation, a bootstrapping method with 5000 samples and bias corrected confidence intervals was used to determine significance of the mediated effect⁴⁷.

Ethical statement

All participants provided full informed consent. Full ethical permission was granted by South West – Cornwall and Plymouth research ethics committee, reference: 16/SW/0209.

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Results

Participant characteristics

One hundred and twenty patient participants agreed to participate in the study. Sixty-eight patients (57%) had Crohn’s disease, 49 (41%) had ulcerative colitis and the remaining 3 (2%) had IBD unclassified. The median duration of IBD was 9.2 years (IQR 4.2-15.2), with the median age of onset being 29.9 years (IQR 22.3-43.6). Forty-six patients (38%) were taking anti-TNF drugs to control their IBD. Full baseline characteristics of study participants can be seen in Table 1.

Of the 120 patients recruited, 35 (29%) were classified as having active IBD. Those with active disease had higher hs-CRP levels (median levels 5.0 mg/L [IQR 2.75-9.38] vs 1.2 mg/L [IQR 0.50-2.70], Mann Whitney, $p<0.0005$) and higher white cell counts (median $8.4 \times 10^9/L$ [IQR 6.80-9.80] vs $6.6 \times 10^9/L$ [IQR 5.55-7.80], Mann Whitney, $p <0.0005$).

Furthermore, those with active disease were more likely to be taking corticosteroids (20% vs 1.2%, $p=0.001$) and less likely to be taking anti-TNF drugs (20% vs 45.9%, $p=0.008$).

Participants with active disease had worse generic and disease specific health-related quality of life (EQ-5D index value and VAS; SIBDQ Systemic, Social, Bowel and Emotional domains of the Short IBD questionnaire, all p ’s ≤ 0.005).

Overall participants showed a positive bias in emotion recognition [median emotional recognition bias = +15% (IQR 0.0 – 30.0)] and a negative bias in emotional memory [median emotional memory bias = -10% (IQR = -30.0 – 0.0)]. Emotional recognition bias was less positive in people with active disease [median recognition bias +5% (IQR -5.0 – 20.0) vs +15% (IQR 2.50 – 35.0), Mann Whitney, $p=0.028$], but was not significantly associated with hs-CRP (Spearman’s correlation coefficient (ρ) = -0.04, $n=101$, $p = 0.73$) or white cell count ($\rho = -0.01$, $n=112$, $p =0.91$). Emotional memory bias and learning rate (win or loss) were not significantly associated with disease activity or markers of inflammatory activity.

Sociodemographic, IBD and psychological factors associated with depression

287 Of the 120 participants recruited, 105 returned questionnaires, of which 104 included
288 completed depression assessments. There were no significant differences with regards to
289 age, sex, socioeconomic status or disease activity between those 104 returning the
290 depression assessment and the 16 who did not.

291 Twenty-six participants (25%) were depressed (PHQ-9 score ≥ 10). Sociodemographic,
292 clinical and psychological factors that showed univariate associations with depression can
293 be seen in Table 2. Of note, those with depression were significantly more likely to be
294 female, lack social support, have active IBD, not be taking anti-TNF alpha inhibitors, have
295 worse quality of life and exhibit less positive bias on the emotional recognition task [median
296 emotional recognition bias = +2.5 (IQR -25.0 – 15.0) in depressed vs +15% (0.0 – 35.0) in
297 the non-depressed, Mann-Whitney, $p=0.002$]. Depression was not associated with laboratory
298 markers of inflammatory activity (hs-CRP or white cell count), emotional memory,
299 reinforcement learning related to reward or loss.

300 Using multivariable logistic regression, the overall model was significant (Chi-square = 24.9,
301 $p=0.001$, Cox and Snell R-square = 0.22). Within the model, depression was independently
302 associated with less social support [odds ratio (OR) = 0.25 (95% CI = 0.08 – 0.76), $p=0.02$]
303 and greater disease activity [OR = 3.6 (95% CI = 1.14 – 11.60) $p=0.03$] (Table 3). Age, sex,
304 Index of Multiple Deprivation and emotional recognition bias [OR = 0.39, (95% CI = 0.12 –
305 1.27), $p=0.12$] did not make any significant independent contribution to the full regression
306 model.

307 Since disease activity and emotional recognition bias showed a significant univariate
308 association with each other, we explored the effect of removing disease activity from the
309 regression model. When disease activity was removed from the model, the overall model
310 remained significant (Chi-square = 20.1, $p=0.003$, Cox and Snell R-square = 0.182), and
311 less positive emotional recognition bias ($B=-1.20$, $SE=0.58$, $p=0.04$, $\text{Exp}(B) = 0.30$) and less

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312 social support ($B=-1.31$, $SE=0.55$, $p=0.02$, $Exp(B) = 0.27$) were the only variables to make a
313 significant independent contribution to the model.

314 Using the causal steps approach, disease activity was associated with emotional recognition
315 bias ($B= -0.93$, $p=0.043$) and both disease activity ($B = 1.47$, $p=0.003$) and emotional
316 recognition bias ($B=-1.27$, $p=0.019$) predicted depression. The contribution of disease
317 activity to the model decreased when emotional recognition was added to the model
318 ($B=1.29$, $p=0.012$, Figure 2), consistent with emotional recognition partially mediating the
319 effects of disease activity on depression (Figures 1b). Bootstrap test of indirect effect was
320 significant, and proportion of total effect mediated = 19.8%. Disease activity was also
321 associated with anxiety ($B=1.2$, $p=0.03$), though emotional recognition bias did not meet
322 criteria for mediation in this association, since the association between emotional recognition
323 bias and anxiety was non-significant ($B=-0.48$, $p=0.38$).

Discussion

We found that depression affected 25% of people with IBD and was associated with a wide range of sociodemographic, IBD-related and psychological factors including less positive biases in emotional recognition. However, on multivariable analysis, depression was predicted by a lack of social support and greater IBD activity, only. Causal steps analysis suggested that emotional recognition bias partially mediated the relationship between disease activity and depression, as we hypothesized.

This is the first study to explore links between disease activity and emotional processing biases, with the aim of understanding mechanisms underpinning the development of depression among people with IBD. Strengths of our study include the recruitment of a representative sample of outpatients with IBD and the use of standardized assessments to record key variables of interest, so we are confident that our findings are generalizable, valid and reliable. Finally, our measures of emotional processing were selected from a battery of tests designed specifically to evaluate changes in emotional processing associated with mental disorders, informed by a systematic review of experimental findings relating to acute inflammation.

The main weakness of our study was its cross-sectional design, meaning that we could not determine the direction of causation of any of the observed associations. Despite our causal steps approach, we recognize that mediation analyses based on cross-sectional data must be regarded as preliminary, since spurious and inflated associations may occur⁴⁸. Also, since conventional symptom scores are heavily weighted by quality of life and well-being domains that can be influenced directly by depression, use of such scores to assess IBD activity risks inflating the apparent association between IBD activity and depression. To avoid this, we used the opinions of expert gastroenterologists to determine clinical disease activity via retrospective inspection of medical records, blinded to depression status and the outcomes of research assessments. Whilst the fact that people whose IBD was classified as active had significantly higher hs-CRP levels and worse health-related quality of life scores,

351 provides some confirmation of the validity of our IBD activity assessment method, we
352 acknowledge that such an assessment is fundamentally subjective and therefore vulnerable
353 to bias. Future studies should consider using more valid and reliable measures of IBD activity
354 such as fecal calprotectin.

355 We interpret our findings as confirming that depression is common in hospital outpatients
356 with IBD, and that having active IBD and lacking of social support were the strongest
357 predictors of depression. This finding is consistent with our research in rheumatoid arthritis,
358 which showed that depression was more likely among people who experienced life
359 difficulties in both disease-related and non-disease related domains⁴⁹. Due to our small
360 population size and the loss of statistical power due to shifting from the planned multivariable
361 linear regression to logistic regression to accommodate the non-parametric distribution of
362 our key variables, we cannot conclude that other factors are unimportant in contributing to
363 depression at an individual level, merely that disease activity and social support were
364 important predictors of depression among our participants.

365 Whilst depression was associated with clinical disease activity, we did not find that
366 depression was associated with hs- C-reactive protein. This would seem to contradict the
367 ever growing observational evidence that depression is associated with inflammation. One
368 explanation could be that most patients recruited to this study were taking drugs that are
369 recognized to reduce inflammation, such as corticosteroids and TNF-alpha inhibitors, which
370 could have moderated the association between inflammation and depression^{50–52}. Common
371 use of such powerful anti-inflammatory drugs in clinical populations could mean that findings
372 from research into acute inflammation in healthy individuals performed in laboratory settings
373 or using population based, observational studies cannot necessarily be extrapolated directly
374 to clinical populations receiving such treatments. Another explanation could be that we did
375 not measure mediators of inflammation sufficiently thoroughly, being limited to CRP, an
376 inactive marker of depression. Furthermore, exclusion of IBD sufferers with most severe
377 depression and most severe IBD may have weakened associations that would have

378 otherwise been apparent if people with more severe health problems had been included.

379 Finally, of course, this lack of association could indicate that there is no association between
380 inflammation and depression among people with IBD.

381 Our finding of a reduction of positive bias during emotional recognition in people with active
382 compared to inactive IBD was robust and consistent with the previous small fMRI study of
383 patients with ulcerative colitis⁵³. Our findings that less positive biases in emotional
384 recognition partially mediate the association between IBD activity and depression are new
385 and start to elucidate the mechanisms underpinning depression among people with IBD, and
386 possibly other long term conditions.

387 Further research is required to investigate mechanisms underlying the development and
388 maintenance of depression and, in particular, to test our hypotheses that that the association
389 between disease activity / inflammation and depression might be mediated via emotional
390 processing biases. Larger participant numbers will increase statistical power so possibly
391 identifying other factors that are associated with depression but also facilitate analysis on
392 subgroups not taking anti-inflammatory drugs, which may influence the associations
393 between depression and disease activity. Study of populations with other chronic
394 inflammatory conditions may reveal subtle differences in the effects of inflammation and anti-
395 inflammatory drugs on depression. Assessment of cytokines and a broader range of
396 cognitive processes may provide a more comprehensive investigation of mechanisms
397 underlying depression. Prospective study design will enable stronger causal inferences to be
398 drawn if the nature of the temporal relationships between presumed predictors and
399 dependent variables can be established. Emotional recognition biases did not mediate the
400 association between disease activity and anxiety in this preliminary study, though anxiety
401 should be considered alongside depression in future studies of the impact of inflammation.

402 Our findings raise the possibility that psychological interventions targeting emotional
403 recognition biases among people with IBD, could be used to treat or even prevent

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404 depression in high risk individuals, such as those with active IBD, and thereby possibly
405 improve medical as well as psychological outcomes.

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For Peer Review

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- 1) Wilkinson: contributed to design, conducted data collection, conducted initial analyses, wrote first draft and provided final approval
- 2) Dickens: conceived the original idea, provided the initial design and provided overall supervision for data collection, analyses, interpretation, draft writing and final approval
- 3) Goodhand, Kennedy, Ahmad, Trick, Knight and Heerasing contributed to: design, data collection, interpretation, draft writing and final approval
- 4) Bland, Elliott, Valton Roiser contributed to: analyses, interpretation, draft writing and final approval

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For Peer Review

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606 **Tables**

607 **Table 1 Characteristics of subjects recruited (Median (IQR) or n (%))**

Subject characteristic		
Socio-demographic characteristics		
Age	Years	44.0 (33.3-56.0)
Sex	Male	52 (43.3)
Ethnicity	White British	120 (100)
Socio-economic	IMD decile	6.0 (4.0-8.0)
Education (n=98)	Years	15.0 (12.0-18.0)
Employment	Working	68 (64.8)
Smoking	Current	10 (8.3)
	Ex	21 (17.5)
	Never	89 (82.5)
Relationships	In a relationship	73 (69.5)
Lives alone		14 (13.3)
ENRICHD (n=105)		26.0 (22.0-29.0)
IBD characteristics		
Disease type	Crohn's	68 (56.7)
	UC	49 (40.8)
	IBD-U	3 (2.5)
Disease duration	Years	9.2 (4.2-15.2)
Age at diagnosis	Years	29.9 (22.3-43.6)
Disease activity	Active disease	35 (29.2)
Crohn's Disease Montreal Classification (n=68)		

Subject characteristic		
Age	A1: Age <17	9 (13.2)
	A2: 17-40	42 (61.8)
	A3: >40	17 (25.0)
Location of Crohn's	L1: Ileal	28 (41.2)
	L2: Colonic	17 (25.0)
	L3: Ileocolonic	23 (33.8)
	+ L4: Upper GI	12 (17.6)
Crohn's behaviour	B1: Inflammatory	41 (60.3)
	B2: Stricturing	21 (30.9)
	B3: Penetrating	6 (8.8)
	+ p: Perianal	10 (14.7)
UC Montreal Classification	E1: Proctitis	8 (15.4)
	E2: Distal colitis	20 (38.5)
	E3: Total colitis	24 (46.2)
Medications	5 ASA	32.26.7
	Corticosteroids	8 (6.7)
	Thiopurine	46 (38.3)
	Methotrexate	4 (3.3)
	Anti-TNF	46 (38.3)
	Vedolizumab	22 (18.3)
	Ustekinumab	2 (1.7)
Prior surgeries	None	94 (78.3)
	Ileocecal resection	20 (16.7)
	Subtotal colectomy	3 (2.5)
	Small bowel resection	2 (1.7)
	Right hemicolectomy	1 (0.8)

Subject characteristic		
Baseline laboratory indices	Haemoglobin (g/L)	134.0 (124.0-141.8)
	MCV (fL)	89.3 (85.6-94.0)
	White cell count (x10 ⁹ /L)	6.9 (5.8-8.6)
Baseline laboratory indices	Platelet count (x10 ⁹ /L)	242.0 (212.3-304.8)
	Haematocrit (vol%)	39 (37-41)
	Hs-CRP (n=107 mg/L)	1.7 (0.80-4.70)
	Hs C-reactive protein >3 mg/L (n=107)	40 (37.4)
SIBDQ subscales	Systemic (n=105)	4.5 (3.3-5.5)
	Social (n=104)	6.0 (5.0-7.0)
	Bowel (n=104)	5.3 (4.3-6.0)
	Emotional (n=104)	5.0 (3.7-6.0)
Total SIBDQ	(n=105)	4.9 (4.3-5.8)
EQ-5D VAS	(n=105)	75.0 (62.5-85.0)
EQ-5D index value	(n=105)	0.70 (0.72-0.95)
Psychological characteristics		
PHQ-9	(n=104)	5.5 (3.0-10.5)
PHQ-9>=10	(n=104)	26 (25.0)
<u>GAD-7</u>	<u>(n=105)</u>	<u>5.0 (1.0 – 8.0)</u>
<u>GAD-7>=10</u>	<u>(n=105)</u>	<u>18 (17.1)</u>
Recent Life stresses	Yes	60 (57.1)
Cognitive assessments		
Emotional recognition bias (n=112)		15.0 (0.0-30.0)

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Subject characteristic		
Emotional memory bias (n=108)		-10 (-30 – 0.0)
Reward and punishment processing	Learning rate Win (n=48*)	0.10 (0.02-0.56)
	Temperature Win (n=48)	0.17 (0.03-0.46)
	Learning rate Loss (n=48*)	0.22 (0.06-0.53)
	Temperature loss (n=48)	1.0 (0.72-1.08)

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609 Number of participants (n) = 120, unless otherwise stated; IMD = Index of multiple
 610 deprivation; SIBDQ = Short Inflammatory Bowel Disease questionnaire
 611 IQR=interquartile range
 612 *Individuals showing no evidence of learning were excluded from these results

Table 2 Comparing depressed with non-depressed

Characteristic		Depressed (N=26)	Non-depressed (N=78)	Comparison
Socio-demographic factors				
Age	Years	42.5(33.8-50.8)	49.5(35.0-59.0)	MW, p=0.09
Sex	Male	7 (26.9)	40 (51.3)	$\chi^2(1)=4.7$, p=0.031
Ethnicity	White British	26 (100)	78 (100)	
Socio-economic	IMD decile	5.0(4.0-8.0)	6.0(4.0-8.0)	MW, p=0.45
Education	Years	13(12.5-18.0)	15(12.0-17.8)	MW, p=0.83
Employment	Working	13 (50)	54 (69.2)	$\chi^2(1)=3.1$, p=0.08
Smoking	Current	3 (11.5)	3 (3.8)	$\chi^2(2)= 3.9$, p=0.14
	Ex	7 (26.9)	13 (16.7)	
	Never	16 (61.5)	62 (79.5)	
Relationships	In a relationship	16 (61.5)	56 (71.8)	$\chi^2(1)=0.96$, p=0.33
Lives alone		3 (11.5)	11 (14.1)	FET, p=1.0

Characteristic		Depressed (N=26)	Non-depressed (N=78)	Comparison
ENRICHD		21.0(15.8-23.3)	27.5(24.0-29.3)	MW, p<0.0005
IBD characteristics				
Disease type	Crohn's	15 (57.7)	40 (51.3)	$\chi^2(2)=1.17$, p=0.56
	UC	10 (38.5)	37 (47.4)	
	IBD-U	1 (3.8)	1 (1.3)	
Disease duration	Years	5.9(1.0-14.7)	10.1(4.3-16.0)	MW, p=0.054
Age at diagnosis	Years	29.6(20.9-47.0)	33.0(24.3-47.6)	MW, p=0.45
Disease activity	Active disease	13 (50)	17 (21.8)	$\chi^2(1)=7.4$, p=0.007
Crohn's Disease Montreal Classification	A1: Age <17	2 (13.3)	4 (10.0)	$\chi^2(2)=0.23$, p=0.89
	A2: 17-40	8 (53.3)	24 (60.0)	
	A3: >40	5 (33.3)	12 (30.0)	
	L1: Ileal	7 (46.7)	13 (32.5)	$\chi^2(2)=1.05$, p=0.59
	L2: Colonic	3 (20.0)	12 (30.0)	
	L3: Ileocolonic	5 (33.3)	15 (37.5)	
	+ L4: Upper GI	5 (33.3)	4 (10.0)	FET, p=0.095

Characteristic		Depressed (N=26)	Non-depressed (N=78)	Comparison
	B1: Inflammatory	9 (60.0)	24 (60.0)	$\chi^2(2)=0.17$, p=0.92
	B2: Stricturing	5 (33.3)	12 (30.0)	
	B3: Penetrating	1 (6.7)	4 (10.0)	
	+ p: Perianal	2 (13.3)	6 (15.0)	$\chi^2(1)=0.02$, p=1.0
UC Montreal Classification	E1: Proctitis	4 (36.4)	4 (10.5)	$\chi^2(2)=4.27$, p=0.12
	E2: Distal colitis	3 (27.3)	17 (44.7)	
	E3: Total colitis	4 (36.4)	17 (44.7)	
Medications	5 ASA	9 (34.6)	22 (28.2)	$\chi^2(1)=0.38$, p=0.54
	Corticosteroids	3 (11.5)	4 (5.1)	FET, p=0.36
	Thiopurine	7 (26.9)	32 (41.0)	$\chi^2(1)=1.66$, p=0.20
	Methotrexate	1 (3.8)	3 (3.8)	FET, p=1.0
	Anti-TNF	3 (11.5)	33 (42.3)	$\chi^2(1)=8.2$, p=0.004
	Vedolizumab	6 (23.1)	13 (16.7)	FET, p=0.56
	Ustekinumab	1 (3.8)	1 (1.3)	FET, p=0.44
Prior surgeries	None	20 (76.9)	64 (82.1)	$\chi^2(4)=2.9$, p=0.57

Characteristic		Depressed (N=26)	Non-depressed (N=78)	Comparison
	Ileocecal resection	5 (19.2)	9 (11.5)	
	Subtotal colectomy	0 (0.0)	3 (3.8)	
	Small bowel resection	1 (3.8)	1 (1.3)	
	Right hemicolectomy	0 (0.0)	1 (1.3)	
Baseline laboratory indices	Haemoglobin (g/L)	130.5(117.8-143.0)	134.5(127.8-143.5)	MW, p=0.18
	MCV (fL)	88.7(84.0-93.0)	89.3(85.7-94.2)	MW, p=0.53
	White cell count (x10 ⁹ /L)	7.4(6.0-9.2)	6.7(5.7-8.2)	MW, p=0.24
	Platelet count (x10 ⁹ /L)	253.5(220.0-355.0)	234.0(207.5-299.3)	MW, p=0.07
	Haematocrit (vol%)	0.38(0.33-0.41)	0.40(0.37-0.42)	MW, p=0.13
	Hs-C-reactive protein > 3mg/L (n=99)	9 (39.1)	23 (31.1)	$\chi^2(1)=5.1$, p=0.473
SIBDQ subscales	Systemic	3.5(2.4-4.1)	4.5(3.5-5.5)	MW, p<0.0005
	Social	5.0(3.5-5.6)	6.5(5.0-7.0)	MW, p<0.0005
	Bowel	4.3(3.5-5.3)	5.7(4.7-6.3)	MW, p<0.0005
	Emotional	3.3(2.9-3.7)	5.3(4.3-6.3)	MW, p<0.0005

Characteristic		Depressed (N=26)	Non-depressed (N=78)	Comparison
Total SIBDQ		4.0(3.3-4.6)	5.4(4.6-6.1)	MW, p<0.0005
EQ-5D VAS		62.5(43.8-70.0)	80.0(70.0-85.3)	MW, p<0.0005
EQ-5D index value		0.72(0.53-0.76)	0.88(0.74-1.00)	MW, p<0.0005
Psychological characteristics				
PHQ-9		13.0(12.0-16.0)	5.0(2.0-6.3)	MW, p<0.0005
GAD-7		9.0 (6.0 – 12.0)	2.0 (0.0-5.250)	MW, p<0.0005
Recent Life stresses	Yes	22 (84.6)	37 (47.4)	$\chi^2(1)=11.0$, p=0.001
Cognitive assessments				
Emotional recognition		2.5(-25.0-15.0)	15.0(0.0-35.0)	MW, p=0.002
Emotional memory		-10.0(-30.0-0.0)	-20.0(-30.0-0.0)	MW, p=0.72
Reward and punishment processing				
- Learning rate Win (n=43*)		0.05 (0.03-0.21)	0.12 (0.02-0.56)	MW, p=0.69
- Temperature Win (n=43*)		0.14 (0.03-0.36)	0.19 (0.02-0.48)	MW, p=0.71

Characteristic	Depressed (N=26)	Non-depressed (N=78)	Comparison
- Learning rate Loss (n=43*)	0.30 (0.11-0.64)	0.20 (0.02-0.53)	MW, p=0.34
- Temperature Loss (n=43*)	0.96 (0.72-1.07)	1.0 (0.66-1.08)	MW, p=0.99

Number of participants (n) = 104 (the number completing the depression assessment), unless otherwise stated;

IMD = Index of multiple deprivation

SIBDQ = Short Inflammatory Bowel Disease questionnaire;

IQR=interquartile range; FET = Fisher's Exact Test (2-sided), used

when cross-tabulation includes cells with expected count<5

*Individuals showing no evidence of learning were excluded from these results

Table 3 Multivariable predictors of depression

	O.R.	95% CI	Sig.
Age < 45 yrs versus ≥45 yrs	0.71	0.23 - 2.24	0.56
Sex	2.11	0.68 – 6.56	0.20
IMD category (high vs low)	0.82	0.27 – 2.51	0.72
Social support (high versus low)	0.25	0.08 – 0.76	0.02
Type of IBD (Crohn’s versus UC)	1.44	0.54 – 3.88	0.47
Disease activity (Active versus inactive)	3.64	1.14 – 11.60	0.03
Emotional Recognition categorical (more positive versus more negative)	0.39	0.12 – 1.27	0.12
Constant	0.18		0.30

OR = Odds ratio

CI = confidence intervals

IMD = Index of multiple deprivation.



Figure Legends**Figure 1**

C' = total effect of predictor on outcome

a = direct effect of predictor on mediator

b = direct effect of mediator on outcome

c = direct effect of predictor on outcome

a x b = indirect effect of predictor on outcome via mediator

Figure 2

^ap ≤ 0.001, ^bp ≤ 0.01, ^cp ≤ 0.05

Paths annotated with unstandardized regression coefficients

Figure 1 Method used for testing mediation

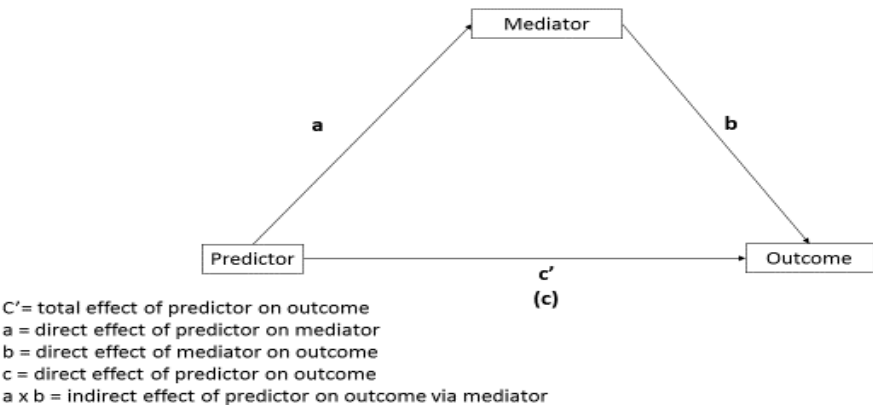


Figure 2 Path diagram of mediation

